

JC

04/14/04 WED 15:46 FAX 228 9446

KLARQUIST SPARKMAN

001

KLARQUIST SPARKMAN, LLP

INTELLECTUAL PROPERTY LEGAL SERVICES • INCLUDING PATENTS, TRADEMARKS, COPYRIGHTS & LITIGATION • SINCE 1941
One World Trade Center • 121 S.W. Salmon Street • Suite 1600 • Portland, Oregon 97204 • U.S.A.
Telephone: 503-226-7391 • www.klarquist.com
Facsimile: 503-228-9446

FAX TRANSMITTAL

DATE: April 14, 2004

TO: Filing Receipts OIPE

FAX PHONE: 703 746 9195

FROM: Lisa M. Caldwell
Registration No. 41,653

RE: PTO Error in Filing Receipt

OUR FILE: 6884-66364/LMC

YOUR FILE: U.S. Patent Application No. 10/632,495, filed July 31, 2003

NO. PAGES 5 (including this cover page)

PLEASE ACKNOWLEDGE RECEIPT BY RETURN FACSIMILE? Yes No

CONFIRMATION TO FOLLOW? Yes No

CONTACT INFO: If you do not receive all pages or if you have problems receiving transmittal, please call us at (503) 226-7391 as soon as possible and ask for Erin Vaughn.

MESSAGE: Applicants received the accompanying Filing Receipt with 1 error in it (marked for easy reference). Please correct as listed on the accompanying first two pages of the Preliminary Amendment filed July 31, 2003.

THE INFORMATION CONTAINED IN THIS TRANSMISSION IS CONFIDENTIAL AND ONLY FOR THE INTENDED RECIPIENT IDENTIFIED ABOVE. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION OR USE OF THIS COMMUNICATION IS UNLAWFUL. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE (COLLECT), RETURN THE ORIGINAL MESSAGE TO US, AND RETAIN NO COPY.



UNITED STATES PATENT AND TRADEMARK OFFICE

LMC

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/632,495	07/31/2003	1765	1716	6884-66364	28	55	7

One World Trade Center
 Suite 1600
 121 S.W. Salmon Street
 Portland, OR 97204

PREVIOUSLY DOCKETED

CONFIRMATION NO. 4402
 REPLACEMENT FILING RECEIPT

 OC000000011936719

Date Mailed: 02/20/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brian K. Aegerter, Kalispell, MT;
 Curt T. Dundas, Kalispell, MT;
 Tom L. Ritzdorf, Big Fork, MT;
 Gary L. Curtis, Loveland, CO;
 Michael Jolley, Residence Not Provided;

Assignment For Published Patent Application

Semitool, Inc.;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/672,572 09/28/2000 PAT 6,632,292
 which is a CIP of 09/437,926 11/10/1999 PAT 6,413,436
 which is a CON of PCT/US99/05674 03/15/1999
 which claims benefit of 60/117,474 01/27/1999
 and said 09/437,926 11/10/1999
 is a CIP of 09/437,711 11/10/1999 PAT 6,423,642
 which is a CON of PCT/US99/05676 03/15/1999
 which claims benefit of 60/116,750 01/22/1999 01/23/1999
 and said 09/672,572 09/28/2000
 is a CIP of 09/041,649 03/13/1998 PAT 6,318,385
 and is a CIP of 09/113,435 07/10/1998 PAT 6,264,752
 which is a CIP of 09/041,901 03/13/1998 PAT 6,350,319

Foreign Applications

If Required, Foreign Filing License Granted: 10/29/2003

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Selective treatment of microelectronic workpiece surfaces

Preliminary Class

438

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

LMC:eb:cjv 07/31/03 207175 P00-0024US4
PATENT

Express Mail No. EV339204113US
Attorney Reference No 6884-66364

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Art Unit: --

Brian K. Aegerter et al.

Application No. --

Filed: Herewith

For: SELECTIVE TREATMENT OF
MICROELECTRONIC WORKPIECE
SURFACES

Examiner: --

Date: July 31, 2003

COPY

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

PRELIMINARY AMENDMENT

Amendments to the Specification begin on page 2.

Amendments to the Claims are reflected in the listing of claims, which begins on page 3.

Remarks begin on page 11.

LMC:eb:cjv 07/31/03 207175 P00-0024US4
PATENT

Express Mail No. EV339204113US
Attorney Reference No 6884-66364

Amendments to the Specification

Please replace the paragraph beginning at page 1, line 4, with the following rewritten paragraph:

-- Cross Reference to Related Applications

The present application is a: division of U.S. Patent Application No. 09/672,572 filed September 28, 2000, which is incorporated herein by reference, which is a continuation-in-part of copending U.S. Patent Application No. 09/437,926 filed November 10, 1999, which is a continuation of International Patent Application No. PCT/US99/05674 filed March 15, 1999 designating the U.S., which claims the benefit of U.S. Provisional Patent Application No. 60/117,474 filed January 27, 1999; and U.S. Patent Application No. 09/437,926 is also a continuation-in-part of copending U.S. Patent Application No. 09/437,711 filed November 10, 1999, which is a continuation of International Patent Application No. PCT/US99/05676 filed March 15, 1999 designating the U.S., which claims the benefit of U.S. Provisional Patent Application No. 60/116,750 filed January 23, 1999, and which is also a continuation-in-part of U.S. Patent Application Nos. 09/041,649 filed March 13, 1998 and 09/113,435 filed July 10, 1998, which is a continuation-in-part of 09/041,901 filed March 13, 1998. --

COPY